Docket No.: 630666.91179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Scott P. Steinmann

Filed:

Filed Herewith

For:

RADIAL HEAD REPLACEMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on Form PTO-1449.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted,

Dated: January 29, 2004

Richard T. Roche

Registration No. 38,599 Quarles and Brady LLP

411 East Wisconsin Ave. Milwaukee, WI 53202

(414) 277-5805

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
a collection of information unless it contains a valid OMB control number. Under the Paperwork Reduction Act of 1995, no persons are required to respond to

Substitu	ite for form 1449A/PTO			Complete if Known		
				Application Number		
INF	ORMATION	DIS	SCLOSURE	Filing Date	Filed Herewith	
STA	ATEMENT B'	Y A	PPLICANT	First Named Inventor	Scott P. Steinmann	
			•	Group Art Unit	1	
	(use as many shee	ts as	necessary)	Examiner Name		
Sheet	1	of	2	Attorney Docket Number	660336.91179	

				U.S. PATENT DOCU	JMENTS	
Examiner Initials	Cite No.1			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,302,855		Swanson	12/01/81	
		4,686,978		Wadsworth	08/18/87	
		4,718,414		Saunders, et al.	01/12/88	
		4,736,737		Fargie, et al.	04/12/88	
		4.927.422		Engelhardt	05/22/90	
	-	5,030,237		Sorbie, et al.	07/09/91	
		5,108,396		Lackey, et al.	04/28/92	
		5,522,902		Yuan, et al.	06/04/96	
		5,534,032		Hodorek	07/09/96	
		5,601,565		Huebner	02/11/97	
		5,704,941		Jacober, et al.	01/06/98	
		5,779,709		Harris, Jr., et al.	07/14/98	
		5,782,922		Vandewalle	07/21/98	
		5,879,395	,,	Tornier, et al.	03/09/99	
		6,217,616	B1	Ogilvie	04/17/01	
		6,245,110	B1	Grundei, et al.	06/12/01	
		6,270,529	B1	Terrill-Grisoni, et al.	08/07/01	
		2001/0037154	A1	Martin	11/01/01	
		6,361,563	B2	Terrill-Grisoni, et al.	03/26/02	
		2003/0114859	I A1	Grusin, et al.	06/19/03	

	FOREIGN PATENT DOCUMENTS									
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant			
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т6		
								1		
					<del></del>			4_		
		<del>                                     </del>				<del>                                     </del>		+		
		† † †						†		
							<del>.</del>			
	_							╁		
		<del>                                     </del>						╅		
					<del>, ,</del>		<del>.</del>	+		

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
Trademark Office: U.S. DEPARTMENT OF COMMERCE

660336.91179

Substitute for form 1449A/PTO	Complete if Known		
INFORMATION BIOOL COURS	Application Number		
INFORMATION DISCLOSURE	Filing Date	Filed Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Scott P. Steinmann	
	Group Art Unit		
(use as many sheets as necessary)	Examiner Name		

Attorney Docket Number

2

Sheet

of 2

	U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.1		nent Code² nown)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		2003/0158558		Horn	08/21/03				
		6,620,199	B2	Greisamer	09/16/03				
		2003/0212457	A1	Martin	11/13/03				
		6,656,225	B2	Martin `	12/02/03				
		<del>-</del>							
		_							
,									
			ļ						
		,.							

	FOREIGN PATENT DOCUMENTS									
Examiner	Cite		Foreign Patent Doo	cument Kind Code <sup>5</sup>	Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant			
Initials*	ls No.1 Office3 Number4 (if known)		Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T <sub>6</sub>				
<u> </u>			<u> </u>					┦╌┨		
		<del> </del>						+-1		
								† †		
								$\Box$		
-		$\vdash$						+		
				- + +			<del>,</del>	+		
								$\Box$		
								Ш		

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.